I bereby certify that this compondence is being deposited wit the United States Postal ce as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231,

PATE

Atty. Docket No. DX0903K1 RECEIVED

By: Jeffrey Gillis

JUN 2 4 2002 5

JUN 2 7 2002

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. Fernando BAZAN

Serial No.: 09/963,347

Filed: September 25, 2001

For: MAMMALIAN CYTOKINES;

RELATED REAGENTS AND

METHODS

Examiner: not yet assigned

Art Unit: 1646

INFORMATION DISCLOSURE STATEMENT

Palo Alto, California 94304

Jer. 18, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

COPY OF PAPERS
DRIGINALLY FILED

Sir:

The materials as listed in the attached modified PTO 1449 form are brought to the Examiner's attention pursuant to the duty of disclosure under 37 C.F.R. § 1.56, § 1.97, and § 1.98.

Attached please find the PTO 1449 form listing references AB-AK, all of which were cited in the parent application, USSN 09/399,492, and should therefore be available there. As such, copies of these references will not be provided absent specific request.

Applicants would like to draw attention to reference AA. In the parent application, USSN 09/399,492, in an Office Action dated 3/26/01, the Examiner cited

GenBank database — he enclosed us material, history it is a selection of attached 1449 form, was listed in the GenBank printout and has therefore been accordance to the correct Applicants request the opportunity to

Citation of these documents should not be construed as a representation that the documents are in fact material or are in fact prior art with respect to the instant invention. The Examiner should not make any inference relating to the relative pertinence of cited references based upon the order in which the art is presented. Citation of these documents should not be construed as a representation that an exhaustive search has been made or that more pertinent art may not be in existence.

Applicants request that the Examiner fully consider the art cited in the attached modified PTO 1449 form. Applicants further request that all such art be listed on the front of any patent issuing from this application.

Since this Information Disclosure Statement is being filed before receiving the first Office action, applicants believe no fee is required for filing this document; however, if such a fee is required the Commissioner is hereby authorized to charge DNAX Research Institute's Deposit Account No. 04-1239.

2002

Respectfully submitted,

Sheela Mohan-Peterson Attorney for Applicants

Reg. No. 41,201

Enclosures:

- 1 Modified PTO 1449 forms listing references AA-AK (11 references, 1 page),
- 2. Reference AA (1 ref.)
- 3. Return postcard.







Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COURTS
PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900 NO. Y. DOCKET NO DX0903K1

09/963,347

INFORMATION DISCLOSURE STATEMENT FOR PATENT

APPLICANT: J. Fernando Bazan

(Use several sheets if necessary)

FILING DATE: Sept. 25, 2001 GROUP: 1646

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			U.S. P.	ATENT DOCUM	MENTS				
'EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB- CLASS	FILING APPRO	
	AA	5,965,122	10/12/99	A. Namen, et al.				June 9, 1997	
			FOREIGN	PATENT DOC	UMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY CLASS SUB-		SUB- CLASS	TRANSLATION YES INO		
	АВ	0314415A2	5/3/89	EPO			CLASS	1123	110
	AC	WO 00/29581	5/25/00	РСТ				X	
	AD	WO 00/39149	7/6/00	РСТ				x	
	AF AG	"A thymic stromal cell line supports in vitro development of surface IgM+ B cells and produces a novel growth factor affecting B and T lineage cells" Steven D. Levin, et al., Journal of Immunology, 162(2):677-683, January, 1999. "Thymic Stromal Lymphoprotein: A cytokine that promotes the development of IgM+ B cells in Vitro and Signals via a novel mechanism"							
	AH								
	AI	M. Marra, et al., GenBank, Accession Number AA717930, December 29, 1997. Definition: "vt09f05.r1 Barstead mouse myotubes MPLRB5 Mus musculus cDNA clone IMAGE: 1162593 5', mRNA sequence"							
	AJ	Definition: "vt09f05.r1 Barstead mouse myotubes MPLRB5 Mus musculus cDNA clone IMAGE: 1162593 5', mRNA sequence"							
	AK		nk. Accessi	on Number AA889 testis_NHT Homo	581, January 4, sapiens cDNA c	1999. clone IMA	AGE: 140	72603',	mRNA

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.